

RDS



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/735,148	12/12/2003	1742	385	51667/RDS/C543	6	13	1

CONFIRMATION NO. 1555

23363

CHRISTIE, PARKER & HALE, LLP  
350 WEST COLORADO BOULEVARD  
SUITE 500  
PASADENA, CA 91105

RECEIVED

MAR 26 2004

Christie, Parker &amp; Hale, LLP

## FILING RECEIPT



\*OC000000012172729\*

Date Mailed: 03/24/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Choong Paul Kim, Northridge, CA;  
Charles C. Hays, Pasadena, CA;  
William L. Johnson, Pasadena, CA;

C543:  
51667  
CASE # ACTION  
REMINDER DUE DATE  
DEADLINE

## Assignment For Published Patent Application

California Institute of Technology;

## Domestic Priority data as claimed by applicant

07/31/2001

This application is a CON of 09/890,480 04/02/2002 PAT 6,709,536 \*

(\*) Data provided by applicant is not consistent with PTO records.

## Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

In-situ ductile metal/bulk metallic glass matrix composites formed by chemical partitioning

**Preliminary Class**

148

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

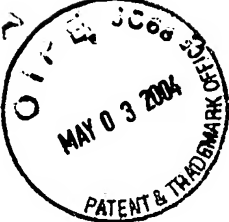
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Choong Paul Kim, et al.  
Application No. : 10/735,148  
Filed : December 12, 2003  
Title : IN-SITU DUCTILE METAL/BULK GLASS MATRIX COMPOSITES  
FORMED BY CHEMICAL PARTITIONING  
Grp./Div. : 1742  
Examiner : To be assigned  
Docket No. : 51667/RDS/C543

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination's  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
April 28, 2004

Commissioner:

Enclosed is a copy of the Filing Receipt received in the above-identified patent application.  
Under the heading "Domestic Priority data," it is stated that:

"This application is a CON of 09/890,480 04/02/2002 PAT 6,709,536"

An asterisk after this information indicates that the data provided by applicant is not consistent with PTO records. The filing date of April 2, 2002 stated above is incorrect. The actual filing date of Parent Application 09/890,480 was July 31, 2001. The origin of the April 2 date is completely unknown, since all applicable communications from the Applicant to the PTO bears the correct date of July 31, 2001.

Please issue a Corrected Filing Receipt acknowledging that Applicant claims that this application is a continuation of Application No. 09/890,480, with a corrected filing date of July 31, 2001.

Since this discrepancy apparently arose as a PTO error, it is not considered that a Petition for a corrected filing receipt is required. If the PTO disagrees, this Request may be treated as a Petition and the petition fee charged to our Deposit Account No. 03-1728.

I hereby certify that this correspondence is being deposited with the  
U.S. Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-  
1450 on:  
4-28-04  
(Date of Deposit) *Margaret R. Sullivan*

**Application No. 10/735,148**

It may also be noted that the parent of this application claims benefit of Provisional Application 60/131,973 filed April 30, 1999. If appropriate, including this information on the Filing Receipt is also requested.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Richard D. Seibel  
Richard D. Seibel  
Reg. No. 22,134  
626/795-9900

RDS/mas

Enclosure: Copy of Filing Receipt  
RDS PAS562864.1-\* -04/28/04 1:19 PM